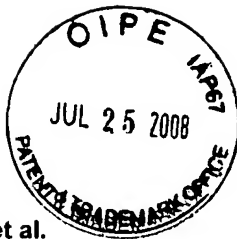


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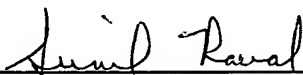
1 Fr 3628
Docket No.: 874.1005
Date: July 22, 2008

In re application of: **Andrew T. HECHT et al.**
Serial No.: 10/559,554
Filed: December 2, 2005
For: **METHOD FOR VALUING FORWARDS, FUTURES, AND OPTIONS ON REAL ESTATE**

Sir:

Transmitted herewith is a **Supplemental Information Disclosure Statement (3 pages)** in the above-identified application.

- ☒ Also transmitted herewith are:
- ☐ Petition for extension under 37 C.F.R. 1.136
 - ☒ Return receipt postcard
 - ☒ Other: PTO Form 1449 (3 pages) with copies of cited articles; Appendix A: Copy of Office Action issued in Copending U.S. Patent Application Serial No. 10/689,833; Appendix B: Copy of Copending Continuation Application filed July 9, 2008;
- ☐ Check(s) in the amount of \$ _____ is/are attached to cover:
- ☐ Filing fee for additional claims under 37 C.F.R. 1.16
 - ☐ Petition fee for extension under 37 C.F.R. 1.136
 - ☐ Fee set forth in 37 C.F.R. §1.17(p)
 - ☐ Other:
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552.
- ☐ Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.
 - ☒ Any patent application processing fees under 37 C.F.R. 1.17.
 - ☒ Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 C.F.R. 1.136.


Sunil Raval, Reg. No. 47,886

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I hereby certify that the documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "First Class Mail" with sufficient postage in an envelope addressed to Mail Stop: AMENDMENT, "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on July 22, 2008.

DAVIDSON, DAVIDSON & KAPPEL, LLC

BY: 

Luis Baez



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: **Andrew T. HECHT**
Application No.: 10/559,554
Filing Date: December 2, 2005
Examiner: To be assigned.
Attorney Docket: 874.1005
Art Unit: 3628
Title: **METHOD FOR VALUING FORWARDS, FUTURES, AND
OPTIONS ON REAL ESTATE**

Mail Stop: AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

July 22, 2008

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

S i r:

In accordance with the provisions of 37 C.F.R. § 1.97 and 37 C.F.R. § 1.98, Applicants hereby make of record the documents listed on the accompanying PTO 1449 Form (1 pg) for consideration by the Examiner in connection with the examination of the above-identified patent application. While the references are being submitted herewith, some or all of the references may not constitute prior art under the U.S. patent laws.

On the accompanying PTO-1449 Form, the references AA to AP, BA to BE and CA to CC and CF to CH, cited on sheets 1 through 3, were cited by the Applicant and are of record in co-pending U.S. Application Serial Nos. 10/689,833 and 10/963,299. References AQ to AT listed on sheet 1 were cited by the Examiner in an Office Action dated February 27, 2008 during the prosecution of co-pending U.S. Application Serial No. 10/689,833. Accordingly, under 37 C.F.R. § 1.98(d) copies of the above-mentioned references are not enclosed. A copy of an Office Action dated February 27, 2008 issued in co-pending U.S. Application Serial No. 10/689,833 is cited as reference CI on sheet 3 of the accompanying PTO-1449 form and a copy

of the aforementioned Office Action is provided as Appendix A. A Response to the Office Action dated February 27, 2008 in co-pending U.S. Application Serial No. 10/689,833 was filed on June 27, 2008 and is available to the Examiner on the U.S. PAIR system.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of Reference CJ is enclosed. Pursuant to the waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003, copies of the cited U.S. patents and U.S. patent application publications are not enclosed. If it is determined that any of the listed references are not presently enclosed, the Examiner is requested to contact the undersigned so that a copy can be forwarded.

It is respectfully requested that the references cited on the accompanying PTO Form-1449 be considered and made of record. If any of the publications listed thereon are missing, the Examiner is requested to contact the undersigned so that a copy can be promptly forwarded.

Applicants respectfully advise the Examiner of the following related U.S. applications which is commonly assignable to the owners of the instant application:

Co-pending U.S. Application Serial No. 10/689,833, entitled "METHOD FOR VALUING FORWARDS, FUTURES AND OPTIONS ON REAL ESTATE", filed October 20, 2003 and published as U.S. Patent Publication No. US2004-0267657A1 on December 30, 2004;

Co-pending U.S. Application Serial No. 10/963,299, entitled "METHOD FOR VALUING FORWARDS, FUTURES AND OPTIONS ON REAL ESTATE", filed October 12, 2004 and published as U.S. Patent Publication No. US2006-0100950A1 on May 11, 2006; and

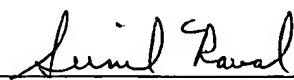
Co-pending U.S. Application Serial No. "to be assigned", entitled "METHOD FOR VALUING FORWARDS, FUTURES AND OPTIONS ON REAL ESTATE", filed July 9, 2008 which has not been published to date, therefore a copy of said application is attached herewith as Appendix B.

This Supplemental Information Disclosure Statement is filed under 37 C.F.R. §1.97 (c), before the mailing date of a First Office Action. Therefore, no fee is believed due. In the event any additional fee is due in connection with this response, the deficiency should be charged to our Deposit Account No. 50-0552.

Applicants respectfully request that the references cited in the accompanying PTO 1449 form be considered and made of record. Applicants respectfully submit that the pending claims are patentable over all of the references made of record at this time.

Respectfully submitted,

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